

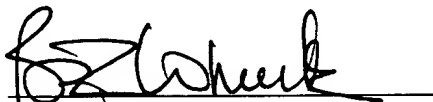
SECTOR 8  
#3

PATENT

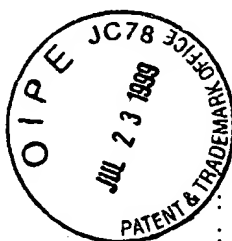
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited in the United States Postal Service as first class mail in an envelope addressed to: BOX MISSING PARTS, Assistant Commissioner for Patents, Washington, D.C. 20231 on JULY 20, 1999.



Bryan K. Wheelock  
Reg. No. 31,441



In re application of:  
Garibaldi et al

Serial No.: 09/292,096

Filed: April 14, 1999

For: Method and Apparatus for Magnetically  
Controlling Endoscopes in Body Lumens  
and Cavities

Examiner not assigned

Group Art Unit 3739

BOX MISSING PARTS  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Letter

Sir:

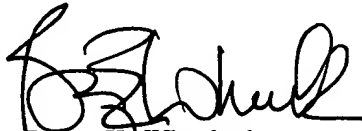
In response to the Notice to File Missing Parts of Application Filing Date Granted, dated May 6, 1999, a copy of which is attached, enclosed please find the following:

1. One (1) check for \$889.00 for the filing fee and surcharge for small entity. We believe there are 7 independent claims over 3 instead of 6 as indicated on the Notice to File Missing Parts of Application. If this amount is insufficient, please debit our deposit account 18-1829 or credit any excess thereto. A copy of this letter is attached for this purpose.

2. A Petition for One Month Extension of Time and a check in the amount of \$55.00.
3. One (1) Combined Declaration and Power of Attorney: Jeffrey Garibaldi, Walter M. Blume and Gerard H. Epplin, totaling 4 pages;
4. Small Entity Statement of Stereotaxis, Inc., the assignee of the patent as evidenced by the assignments submitted for recordal under separate cover this same day.

Please file said Missing Parts.

Respectfully submitted,



Bryan K. Wheelock  
Registration No. 31,441  
HOWELL & HAUSERKAMP, L.C.  
7733 Forsyth, Suite 1400  
St. Louis, Missouri 63105  
(314) 727-5188



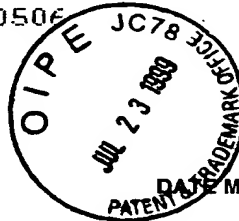
UNITED STATES DEPARTMENT OF COMMERCE  
Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/292,096	04/14/99	GARIBALDI	3176-4866

HOWELL & HAFERKAMP  
7733 FORSYTH BOULEVARD  
SUITE 1400  
ST LOUIS MO 63105

0242/0506



NOT ASSIGNED

3739

DATE MAILED:

05/06/99

**NOTICE TO FILE MISSING PARTS OF APPLICATION**  
**Filing Date Granted**

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☐ non-small entity is \$ 4700

☒ 1. The statutory basic filing fee is:

- ☒ missing.  
☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. The following additional claims fees are due:

\$ 342 for 19 total claims over 20.

\$ 468 for 6 independent claims over 3.

\$ \_\_\_\_\_ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.  
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

**A copy of this notice MUST be returned with the reply.**

D. Martin T-4

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

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07/27/1999 TLWU11  
01FC:201  
02FC:203  
03FC:205  
04FC:202  
380.00 OP  
171.00 OP  
65.00 OP  
273.00 OP